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OCT 30 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime; et. al.
Assignee: Cardica, Inc.
Title: Sutureless Closure and Deployment System for Connecting Blood Vessels
Serial No.: 10/606,813 Filing Date: June 26, 2003
Examiner: Julian W. Woo Group Art Unit: 3731
Docket No.: 138


INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

Respectfully submitted,



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Substitute for form 1449A/PTO					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Complete if Known</i>	
				Application Number	10/606,813
				Filing Date	June 26, 2003
				First Named Inventor	Vargas, Jaime
				Art Unit	3731
				Examiner Name	Julian W. Woo
(Use as many sheets as necessary)					
Sheet	1	of	1	Attorney Docket No: 138	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-5921957	07/13/1999	Killion, Douglas P., et al.	
		US-6136014	10/24/2000	Sinimanne, D. L., et al.	
		US-6451048	09/17/2002	Berg et al.	
		US-6673088	01/06/2004	Vargas, Jaime et al.	
		US-6699256	03/02/2004	Logan, John et al.	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)
 * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) * Applicant is to place a check mark here if English language translation is attached

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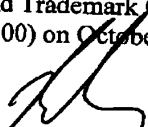
Applicant(s): Vargas, Jaime; et. al.
Assignee: Cardica, Inc.
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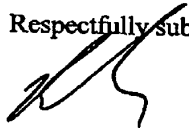
Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449.

- ☐ No additional fee is required.
- ☒ Information disclosure statement fee, to be charged to our Deposit Account No. 502108. **\$180.00**
- ☒ Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.

<p>Certificate of Transmission</p> <p>I hereby certify that this correspondence is being facsimile transmitted to the U.S Patent and Trademark Office (Fax No. (571) 273-8300) on October 30, 2006.</p> <p> _____ Brian Schar</p>

Respectfully submitted,


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